UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF INDIANA
INDIANAPOLIS DIVISION

| | | |
|---|---|---|
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION,<br><br>Plaintiff,<br><br>KENNETH R. PAYNE, JOHANN M. SMITH, DANIEL G. DANKER, CONSTANCE BROOKS-KIEFER, HEARTLAND FINANCIAL SERVICES, INC., AND JMS INVESTMENT GROUP, LLC.<br><br>Defendants. | ) | Civil Action No. IP00-1265 C<br><br>Judge John D. Tinder |

## SUBPOENA DUCES TECUM

TO: Trident Securities (Pershing Securities, Clearing Agent)
1275 Peachtree Street NE
Suite 460
Atlanta, GA 30309

You are hereby commanded to produce for inspection and/or copying on or before **November 17, 2000, at 9:00 a.m.** at the offices of Kroger, Gardis & Regas, LLP, 111 Monument Circle, Suite 900, Indianapolis, Indiana 46204, the following documents relating to **account no.** 5TT-035080, maintained in the name of **JMS Investment Group** (the "Account"):

1. Copies of all checks deposited into the Account from its inception through 3/29/98 and 8/29/98 through 8/10/00;

2. Copies of all deposit slips pertaining to the Account from its inception through 3/29/98and 8/29/98 through 8/10/00;

3. Copies of all statements relating to the Account from its inception through 3/29/98 and 8/29/98 through 8/10/00;

4. Copies of all documents presented to Trident Securities (Pershing Securities, Clearing Agent) to open the Account;

5. Any and all other documents not specifically requested above which relate to the Account or the bearer thereof.

Fail not under penalty of law.

Sworn to before me and subscribed to in my presence this 8 day of November, 2000.

Samuel D. Hodson, Attorney for Receiver, James A. Knauer

58