

**CIRCUIT RULE 26.1 DISCLOSURE STATEMENT**

Appellate Court No: 02-3926

Short Caption: James A. Knauer v. Jonathon Roberts Financial Group, Inc., Alliance Capital Management Corp., Andover Securities, Inc., et al.

To enable the judges to determine whether recusal is necessary or appropriate, an attorney for a non-governmental party or amicus curiae, or a private attorney representing a government party, must furnish a disclosure statement stating the following information in compliance with Circuit Rule 26.1 and Fed. R. App. P. 26.1.

The Court prefers that the disclosure statement be filed immediately following docketing; but, the disclosure statement must be filed within 21 days of docketing or upon the filing of a motion, response, petition, or answer in this court, whichever occurs first. Attorneys are required to file an amended statement to reflect any material changes in the required information. The text of the statement must also be included in front of the table of contents of the party's main brief. **Counsel is required to complete the entire statement and to use N/A for any information that is not applicable if this form is used.**

(1) The full name of every party that the attorney represents in the case (if the party is a corporation, you must provide the corporate disclosure information required by Fed. R. App. P. 26.1 by completing the item #3): **FFP Securities, Incorporated**

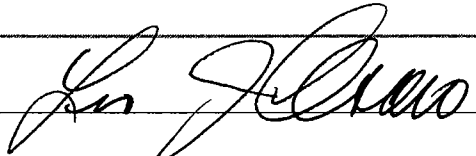
(2) The names of all law firms whose partners or associates have appeared for the party in the case (including proceedings in the district court or before an administrative agency) or are expected to appear for the party in this court:  
**BRYAN CAVE LLP, One Metropolitan Square, 211 North Broadway, #3600, St. Louis, MO 63102; RILEY, BENNETT & EGLOFF, One American Square, #1810, Box 82035, Indianapolis, IN 46282**

(3) If the party or amicus is a corporation:

i) Identify all its parent corporations, if any; and  
**First Financial Planners, Inc.**

ii) list any publicly held company that owns 10% or more of the party's or amicus' stock:  
**None.**

Attorney's Signature:



Date:

**November 26, 2002**

Attorney's Printed Name: **LEO J. ASARO**

Please indicate if you are *Counsel of Record* for the above listed parties pursuant to Circuit Rule 3(d). Yes  No .

Address: **BRYAN CAVE LLP, One Metropolitan Square, 211 North Broadway, #3600, St. Louis, MO 63102**

Phone Number: **(314) 259-2000** Fax Number: **(314) 259-2020**

E-Mail Address: **ljasaro@bryancave.com**