

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF INDIANA
INDIANAPOLIS DIVISION

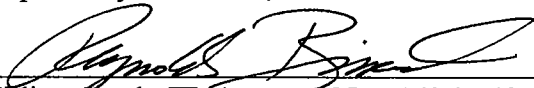
02 NOV -8 PM 3:56
LAGR...
CLERK

JAMES A. KNAUER as the Court Appointed)
Receiver for HEARTLAND)
FINANCIAL SERVICES, INC., JMS)
INVESTMENT GROUP, LLC,) DISTRICT COURT
) CASE NO. IP-01-1168-C T/K
Plaintiff/Appellant,)
)
v.)
)
JONATHON ROBERTS FINANCIAL)
GROUP, INC., ALLIANCE CAPITAL)
MANAGEMENT CORP., ANDOVER)
SECURITIES, INC., FSC SECURITIES)
CORPORATION AND FFP SECURITIES,)
INC.,)
Defendants/Appellees.)

APPELLANT'S PROPOSED DESIGNATION OF THE EVIDENCE

Comes now the Plaintiff/Appellant, James A. Knauer, Receiver, pursuant to Circuit Rule 10 and Local Rule 76.1 and hereby submits his proposed designation of the record. Pursuant to the Court Clerk's request of October 31, 2002, attached hereto as **Exhibit A** is a copy of the Court's Docket Sheet in which the Receiver highlighted those documents that appear on the Court's Docket Sheet that the Receiver seeks to have included in the Appellate record.

Respectfully submitted,


William Bock, III, Attorney No., 14777-49
Reynolds B. Brissenden, Attorney No. 19989-49
KROGER GARDIS & REGAS, LLP
111 Monument Circle, Suite 900
Indianapolis, Indiana 46204-5125
(317)-692-9000
Attorneys for Appellant, James A. Knauer,
As Court Appointed Receiver for Heartland
and JMS Investment Group, LLC

CERTIFICATE OF SERVICE

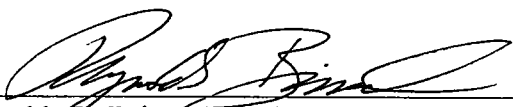
The undersigned hereby certifies that on the 8th day of November, 2002, a true and accurate copy of the above and foregoing pleading was served by depositing the same in the United States mail, first class, postage prepaid to:

James W. Riley, Jr., Esq.
Riley, Bennett & Egloff
One American Square, Suite 1810
Box 82035
Indianapolis, Indiana 46282

Thomas E. Wheeler, II, Esq.
Locke Reynolds, LLP
1000 Capital Center South Tower
201 North Illinois Street
Indianapolis, Indiana 46204-4210

Thomas E. Wack
Leo J. Asaro
Bryan Cave, LLP
One Metropolitan Square
211 N. Broadway
Suite 3600
St. Louis, Missouri 63102-2750

William L. O'Connor
Dan Pecar Newman & Kleiman, P.C.
One American Square, Suite 2300
Box 82008
Indianapolis, Indiana 46282



Reynolds B. Brissenden, Attorney No. 19989-49

William Bock
Reynolds B. Brissenden
KROGER, GARDIS & REGAS, LLP
111 Monument Circle, Suite 900
Indianapolis, Indiana 46204-5125
(317) 692-9000

Exhibit A

DATE	NR.	PROCEEDINGS
08/10/01	1	COMPLAINT eod 08/13/01 [EAH]
08/10/01	2	CIVIL COVER SHEET eod 08/13/01 [EAH]
08/10/01	3	SUMMONS issued eod 08/13/01 [EAH]
08/10/01	4	MAGISTRATE'S NOTICE of availability eod 08/13/01 [EAH]
08/10/01	5	APPEARANCE of William Bock III of the law firm Kroger Gardis & Regas on behalf of receiver James A Knauer eod 08/13/01 [EAH]
08/13/01	6	RECEIPT #46711 eod 08/13/01 [EAH]
08/16/01	7	RETURN of service by CMRRR dated 8/13/01 to FFP Securities Inc signed by Crystal Bohlen eod 08/17/01 [EAH]
08/20/01	8	RETURN of service by CMRRR dated 8/13/01 to Alliance Capital Management Corp signed by Daisha Martin eod 08/20/01 [EAH]
08/20/01	9	RETURN of service by CMRRR dated 8/14/01 to FSC Securities Corp signed by R Rembert eod 08/20/01 [EAH]
08/20/01	10	RETURN of service by CMRRR dated 8/13/01 to Jonathan Roberts Financial Group Inc signed by Daisha Martin eod 08/20/01 [EAH]
08/20/01	11	APPEARANCE of William L O'Connor on behalf of deft FSC Securities Corp -cs DEFT FSC Securities Corp eod 08/21/01 [EAH]
08/20/01	12	NOTICE OF PARTIES' FIRST EXTENSION OF TIME -cs DEFT FSC Securities Corp eod 08/21/01 [EAH]
08/30/01	13	APPEARANCE by James W Riley Jr - c/s DEFT FFP Securities eod 08/31/01 [KS]
08/30/01	14	NOTICE OF PARTIES' FIRST EXTENSION OF TIME - deft has until 10/5/01 to resp to complt - c/s DEFT FFP Securities eod 08/31/ [KS]
09/06/01	15	APPEARANCE of Thomas E Wheeler, II of the law firm Locke Reynolds LLP on behalf of Jonathan Roberts Financial Group In and Alliance Capital Management Corporation -cs DEFTS Jonathan Roberts Financial and Alliance Capital eod 09/07/01 [EAH]
09/06/01	16	NOTICE OF PARTIES' FIRST EXTENSION OF TIME -cs DEFTS Jonathan Roberts Financial and Alliance Capital Management eod 09/07/01 [EAH]
09/28/01	17	MOTION PRO HAC VICE - Attys Thomas E Wack and Leo J Asaro on behalf of DEFT FFP Securities c/s eod 10/01/01 [SWM]
09/28/01	18	RECEIPT #47365 (\$60.00 filing fee for motion pro hac vice) DEF FFP Securities eod 10/01/01 [SWM]
10/01/01	19	ORDER grants the admission of Thomas Wack and Leo Asaro on behalf of the deft-FFP cm JDT eod 10/02/01 [CBU]
10/04/01	20	ENTRY reassigning Mag Judge JDT eod 10/04/01 [SWM]
10/04/01	###	REASSIGNED from Mag GODICH to Mag BAKER eod 10/04/01 [SWM]
10/03/01	21	MOTION FOR TIME TO answer or otherwise respond to pltf's complaint. c/s DEFTS, JONATHAN ROBERTS/ALLIANCE eod 10/04/01 [PLM]
10/04/01	22	ORDER granting motion for extension of time to and including 10/11/01 to answer pltf's complaint. JDT c/m eod 10/04/01 [PLM]
10/05/01	23	MOTION TO DISMISS pltf's Complaint pursuant to Rules 12(b) (1) and 12(b) (6) FRCP c/s - DEFT FFP eod 10/05/01 [MAC]
10/05/01	24	MEMORANDUM in support of deft FFP's Motion to Dismiss c/s - DE FFP eod 10/05/01 [MAC]
10/09/01	25	BRIEF in support of their motion to dismiss -cs DEFTS Roberts and Alliance eod 10/09/01 [EAH]
10/09/01	26	MOTION TO DISMISS -cs DEFTS Roberts and Alliance eod 10/09/01 [EAH]
10/09/01	27	MOTION TO DISMISS -cs DEFT FSC Securities Corp eod 10/09/01 [EAH]

DATE	NR.	PROCEEDINGS
10/09/01	28	BRIEF in support of motion to dismiss -cs DEFT FSC Securities e 10/09/01 [EAH]
10/11/01	29	SUBMISSION - joinder of FFP Securities Inc in the motion to dismiss and brief in support of Jonathon roberts Financial Gro Inc Alliance Capital Management Corp -cs DEFT FFP Securities e 10/12/01 [EAH]
10/26/01	30	MOTION FOR TIME TO respond to deft FFP Securities Inc motion t dismiss -cs PLTF eod 10/26/01 [EAH]
10/26/01	31	MOTION FOR TIME TO respond to defts Jonathan Roberts Financial Group Inc and Alliance Capital Management Corp's motion to dismiss -cs PLTF eod 10/26/01 [EAH]
10/26/01	32	MOTION FOR TIME TO respond to deft FSC Securities Corp motion dismiss -cs PLTF eod 10/26/01 [EAH]
10/26/01	33	ORDER GRANTS pltf's motion for extension of time to respond to deft FFP Securities Inc motion to dismiss (11/28/01) -cm JDT e 10/26/01 [EAH]
10/26/01	34	ORDER GRANTS pltf's motion for extension of time to respond to defts Jonathan Roberts Financial Group Inc's and Alliance Capital Management Corp's motion to dismiss (11/28/01) -cm JDT eod 10/26/01 [EAH]
10/26/01	35	ORDER GRANTS pltf's motion for extension of time to respond to deft FSC Securities Corp's motion to dismiss (11/28/01) -cm JD eod 10/26/01 [EAH]
10/30/01	36	MAGISTRATE JUDGE ASSIGNMENT ORDER -cm JDT eod 10/30/01 [EAH]
11/26/01	37	NOTICE ASSIGNS P/T to 01/02/02 at 09:30AM Room 234 (TAB) c/m s (initial) Filing of the CMP will NOT automatically vacate the p/t conference eod 11/26/01 [SWM]
11/28/01	38	MOTION FOR TIME TO respond to deft FFP Securities Inc's motion to dismiss -cs PLTF eod 11/29/01 [EAH]
11/28/01	39	MOTION FOR TIME TO respond to deft FSC Secutities Corp's motio to dismiss -cs PLTF eod 11/29/01 [EAH]
11/28/01	40	MOTION FOR TIME TO respond to deft Jonathon Roberts Financial Group Inc and Alliance Capital Management corp's motion to dismiss -cs PLTF eod 11/29/01 [EAH]
11/30/01	41	ORDER granting pltf to 12/26/01 to resp to deft FFP's motion t dismiss (TAB) c/m eod 11/30/01 [KS]
11/30/01	42	ORDER granting pltf to 12/26/01 to resp to deft FSC's motion t dismiss (TAB) c/m eod 11/30/01 [KS]
11/30/01	43	ORDER granting pltf to 12/26/01 to resp to deft Jonathon Rober Fincial Group's motion to dismiss (TAB) c/m eod 11/30/01 [KS]
12/07/01	44	MOTION FOR CONT OF SCHEDULED initial p/t conference currently set for 1/2/02 at 9:30AM c/s DEFT FFP Securities Inc eod 12/10/01 [SWM]
12/12/01	45	ORDER VACATES P/T of 01/02/02 at 09:30AM Room 234 (TAB) c/m e 12/13/01 [SWM]
12/12/01	=	ORDER REASSIGNS P/T to 01/11/02 at 11:00AM Room 234 (TAB) c/m sdw (initial) eod 12/13/01 [SWM]
12/13/01	46	APPEARANCE of Reynolds B Brissenden on behalf of pltf James A Knauer, Receiver -cs PLTF eod 12/14/01 [EAH]
12/13/01	47	REQUEST for clarification of order granting pltf's motion for extension of time to respond to FSC Securities Corp's motion t dismiss -cs PLTF eod 12/14/01 [EAH]
12/13/01	48	REQUEST for clarification of order granting pltf's motion for extension of time to respond to FFP Securities Inc's motion t

DATE	NR.	PROCEEDINGS
12/13/01	49	dismiss -cs PLTF eod 12/14/01 [EAH] REQUEST for clarification of order granting pltf's motion for extension of time to respond to Jonathon Roberts Financial Gro Inc's motion to dismiss -cs PLTF eod 12/14/01 [EAH]
12/14/01	50	ORDER (AMENDED) GRANTS pltfs' motion for time to respond to FFI Securities Corp's motion to dismiss - ext to and including 12/28/01 c/m crd TAB eod 12/17/01 [SWM]
12/14/01	51	ORDER (AMENDED) GRANTS pltfs' motion for time to respond to Jonathan Roberts Financial Group Inc's motion to dismiss - ext to and including 12/28/01 c/m crd TAB eod 12/17/01 [SWM]
12/14/01	52	ORDER (AMENDED) GRANTS pltfs' motion for time to respond to FFI Securities Inc's motion to dismiss - ext to and including 12/28/01 c/m crd TAB eod 12/17/01 [SWM]
12/27/01	53	MOTION FOR TIME TO respond to defts Jonathan Roberts Financial Group Inc's and Alliance Capital Management Corp's motion to dismiss -cs PLTF eod 12/27/01 [EAH]
12/27/01	54	MOTION FOR TIME TO respond to deft FSC Securities Corporation's motion to dismiss -cs PLTF eod 12/27/01 [EAH]
12/27/01	55	MOTION FOR TIME TO respond to deft FFP Securites Inc's motion to dismiss -cs PLTF eod 12/27/01 [EAH]
12/28/01	56	ORDER GRANTS pltf's motion for time to respond Deft FSC Securities Corp's motion to dismiss - ext to and including 1/11/02 c/m crd TAB eod 12/28/01 [SWM]
12/28/01	57	ORDER GRANTS pltf's motion for time to respond Defts Jonathon Roberts Financial Group Inc and Alliance Capital Management Corp's motion to dismiss - ext to and including 1/11/02 c/m crd TAB eod 12/28/01 [SWM]
12/28/01	58	ORDER GRANTS pltf's motion for time to respond to Deft FFP Securities Inc's motion to dismiss - ext to and including 1/11/02 c/m crd TAB eod 12/28/01 [SWM]
01/08/02	59	CASE MANAGEMENT PLAN TENDERED BY - PARTIES eod 01/08/02 [EAH]
01/14/02	60	ORDER on 1/11/02 initial p/t conference. Parties appeared by counsel. CMP was approved. Parties requested additional time to complete briefing on the pending motions to dismiss. Pltf is given until 1/14/02, to respond to defts' motions to dismiss, and defts are given until 2/14/02 to file any reply briefs in support of their motions to dismiss TAB eod 01/14/02 [SWM]
01/11/02	61	CASE MANAGEMENT ORDER APPROVED c/m sdw TAB eod 01/14/02 [SWM]
01/14/02	62	MOTION FOR TIME TO respond to defts Jonathan Roberts Financial Group and alliance Capital Management Corp motion to dismiss - PLTF eod 01/14/02 [EAH]
01/14/02	63	MOTION FOR TIME TO respond to deft FFPP Securities Inc motion to dismiss -cs PLTF eod 01/14/02 [EAH]
01/14/02	64	MOTION FOR TIME TO respond to deft FSC Securities Corp's motion to dismiss -cs PLTF eod 01/14/02 [EAH]
01/15/02	65	MOTION FOR LEAVE TO file Receiver's response to motions to dismiss of FSC Securities Corp, FEP Securities Inc, Jonathan Roberts Financial Group and Alliance Capital c/s PLTFs eod 01/16/02 [SWM]
01/17/02	66	ORDER GRANTS pltfs' motion for leave to file Receiver's response to motions to dismiss of FSC Securities Corp, FEP Securities Inc, Jonathan Roberts Financial Group and Alliance Capital c/m sdw TAB eod 01/18/02 [SWM]
01/17/02	67	RESPONSE to defts' motions to dismiss c/s PLTF, James A Knauer

DATE	NR.	PROCEEDINGS
01/18/02	68	Receiver eod 01/18/02 [SWM] ORDER GRANTS pltf's motion for time to respond to Defts Jonath Roberts Financial Group Inc and Alliance Capital Management Corp's motion to dismiss - ext to and including 1/15/02 (see NR 67 for response) c/m TAB eod 01/22/02 [SWM]
01/18/02	69	ORDER GRANTS pltf's motion for time to respond to Deft FSC Securities Corp's motion to dismiss - ext to and including 1/15/02 (see NR. 67 for response) c/m TAB eod 01/22/02 [SWM]
01/18/02	70	ORDER GRANTS pltf's motion for time to respond to Deft FFP Securities Inc's motion to dismiss - ext to and including 1/15/02 (see NR. 67 for response) c/m TAB eod 01/22/02 [SWM]
02/13/02	71	MOTION FOR TIME TO (joint) file replies to motion to dismiss - DEFTS eod 02/13/02 [EAH]
02/19/02	72	ORDER granting deft to 2/21/02 to reply in support of their motions to dismiss (TAB) c/m eod 02/20/02 [KS]
02/20/02	73	REPLY BRIEF in support of its motion to dismiss -cs DEFT Jonathan Roberts eod 02/21/02 [EAH]
02/21/02	74	REPLY BRIEF in support of deft FFP Securities motion to dismiss -cs DEFT FFP Securities eod 02/22/02 [EAH]
02/21/02	75	REPLY BRIEF in support of motion to dismiss -cs FSC Securities Corp eod 02/22/02 [EAH]
02/21/02	76	Provision of out-of-state authorities -cs DEFT FSC Securities Corp eod 02/22/02 [EAH]
03/12/02	77	WITNESS LIST (preliminary) and; eod 03/12/02 [EAH]
03/12/02	=	EXHIBIT LIST (preliminary) -cs PLTF eod 03/12/02 [EAH]
03/12/02	78	NOTICE of initial disclosures by pltf to defts -cs PLTF eod 03/12/02 [EAH]
03/25/02	79	NOTICE OF CHANGE of address for William L O'Connor for deft FS Securities Corporation -cs DEFT FSC Securities Corp eod 03/25/ [EAH]
04/10/02	80	WITNESS LIST (preliminary) and; eod 04/11/02 [EAH]
04/10/02	=	EXHIBIT LIST (preliminary) -cs DEFT eod 04/11/02 [EAH]
04/10/02	81	NOTICE of initial disclosure -cs DEFT eod 04/11/02 [EAH]
04/11/02	82	WITNESS LIST (preliminary) -cs DEFT Jonathan Robert eod 04/12/ [EAH]
04/11/02	83	EXHIBIT LIST (preliminary) -cs DEFT Jonathan Robert eod 04/12/ [EAH]
04/11/02	84	NOTICE of initial disclosures -cs DEFT Jonathan Robert eod 04/12/02 [EAH]
05/21/02	85	MOTION FOR TIME TO file amending pleadings -cs PLTF eod 05/21/ [EAH]
05/22/02	86	ORDER GRANTS pltf's motion to extend deadline for amending pleadings. Parties shall have an additional thirty (30) days to amend their pleadings c/m sdw TAB eod 05/24/02 [SWM]
06/19/02	87	MOTION to extend deadline for amending pleadings -cs PLTF Jame A. Knauer eod 06/20/02 [EAH]
06/26/02	88	ENTRY ASSIGNS HEARING to 09/04/02 at 10:00AM Room 307 (JDT) c/ (Oral Argument) eod 06/27/02 [EAH]
07/16/02	89	ORDER granting 2nd mtn to ext deadline for amending pleadings; parties shall have 30 days to amend their pleadings (TAB) c/m eod 07/16/02 [KS]
07/31/02	90	MOTION PRO HAC VICE for Ted E. Helwig of the law firm Katten Muchin Zavis Rosenman -cs DEFTS Jonathan Roberts Financial Gro and Alliance Capital Management eod 08/01/02 [EAH]

