

IN THE
UNITED STATES COURT OF APPEALS
FOR THE SEVENTH COURT

DOCKET NO. 02-3926


JAMES A KNAUER as the Court)	APPEAL FROM THE UNITED
Appointed Receiver for HEARTLAND)	STATES DISTRICT COURT
FINANCIAL SERVICES, INC., and JMS)	FOR THE SOUTHERN
INVESTMENT GROUP, LLC,)	DISTRICT OF INDIANA,
)	INDIANAPOLIS DIVISION
Plaintiff/Appellant,)	
)	
vs.)	CAUSE NO. IP01-1168-C-T/K
)	
JONATHON ROBERTS FINANCIAL)	HONORABLE JOHN TINDER,
GROUP, INC., ALLIANCE CAPITAL)	DISTRICT COURT JUDGE
MANAGEMENT CORP., ANDOVER)	
SECURITIES, INC., FSC SECURITIES)	
CORPORATION AND FFP SECURITIES,)	
INC.,)	
)	
Defendants/Appellees.)	

FSC'S NOTICE OF FILING RULE 26.1 DISCLOSURE STATEMENT

Defendant/Appellee, FSC Securities Corporation, by counsel, hereby informs the Court of its filing of the Rule 26.1 Disclosure Statement.

Respectfully submitted,

DANN PECAR NEWMAN & KLEIMAN,
Professional Corporation

By: 
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CERTIFICATE OF SERVICE

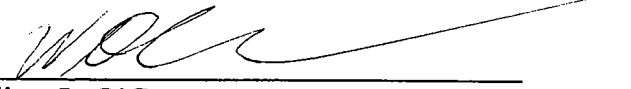
I hereby certify that a copy of the foregoing has been served upon the following, via U.S. regular mail, postage prepaid, this 22 day of March, 2003.

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CIRCUIT RULE 26.1 DISCLOSURE STATEMENT

Appellate Court No: IP-01-1168-C T/K

Short Caption: Knauer v. Jonathan Roberts Financial Group, Inc.

To enable the judges to determine whether recusal is necessary or appropriate, an attorney for a non-governmental party or amicus curiae, or a private attorney representing a government party, must furnish a disclosure statement providing the following information in compliance with Circuit Rule 26.1 and Fed. R. App. P. 26.1.

The Court prefers that the disclosure statement be filed immediately following docketing; but, the disclosure statement must be filed within 21 days of docketing or upon the filing of a motion, response, petition, or answer in this court, whichever occurs first. Attorneys are required to file an amended statement to reflect any material changes in the required information. The text of the statement must also be included in front of the table of contents of the party's main brief. **Counsel is required to complete the entire statement and to use N/A for any information that is not applicable if this form is used.**

- (1) The full name of every party that the attorney represents in the case (if the party is a corporation, you must provide the corporate disclosure information required by Fed. R. App. P 26.1 by completing item #3):

FSC Securities Corporation

- (2) The names of all law firms whose partners or associates have appeared for the party in the case (including proceedings in the district court or before an administrative agency) or are expected to appear for the party in this court:

Dann Pecar Newman & Kleiman, P.C.; Kightlinger & Gray, LLP

- (3) If the party or amicus is a corporation:

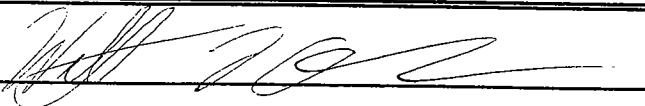
i) Identify all its parent corporations, if any; and

AIG Sunamerica / Sun America, Inc.

ii) list any publicly held company that owns 10% or more of the party's or amicus' stock:

American International Group, Inc.

Attorney's Signature:



Date:

3/20/03

Attorney's Printed Name:

William L. O'Connor

Please indicate if you are *Counsel of Record* for the above listed parties pursuant to Circuit Rule 3(d). Yes No

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