

CIRCUIT RULE 26.1 DISCLOSURE STATEMENT

Appellate Court No: 02-3926

Short Caption: JAMES A. KNAUER, as the Court Appointed Receiver for HEARTLAND FINANCIAL SERVICES, INC., JMS INVESTMENT GROUP, LLC, v. JONATHON ROBERTS FINANCIAL GROUP, INC., ALLIANCE CAPITAL MANAGEMENT CORPORATION, ANDOVER SECURITIES, INC., et al.

To enable the judges to determine whether recusal is necessary or appropriate, an attorney for a non-governmental party or amicus curiae, or a private attorney representing a government party, must furnish a disclosure statement stating the following information in compliance with Circuit Rule 26.1 and Fed. R. App. P. 26.1. **Each attorney is asked to complete and file a Disclosure Statement with the Clerk of the Court as soon as possible after the appeal is docketed in this Court. Counsel is required to complete the entire statement and to use N/A for any information that is not applicable.**

- (1) The full name of every party that the attorney represents in the case (if the party is a corporation, you must provide the corporate disclosure information required by Fed. R. App. P. 26.1 by completing item #3):

Jonathon Roberts Financial Group, Inc. and Alliance Capital Management Corp.

- (2) The names of all law firms whose partners or associates have appeared for the party in the case (including proceedings in the district court or before an administrative agency) or are expected to appear for the party in this court:

Locke Reynolds LLP, Bose McKinney & Evans LLP, Katten Muchin Zavis Rosenman

- (3) If the party or amicus is a corporation:

- i) Identify all its parent corporations, if any; and

Jonathon Roberts Financial Group, Inc. successor in interest to Alliance Capital Management Corp.

- ii) List any publicly held company that owns 10% or more of the party's or amicus' stock:

None

The Court prefers that the disclosure statement be filed immediately following docketing; but the disclosure statement must be filed with the principal brief or upon the filing of a motion, response, petition, or answer in this court, whichever occurs first. The attorney furnishing the statement must file an amended statement to reflect any material changes in the required information. The text of the statement (i.e. caption omitted) shall also be included in front of the table of contents of the party's main brief.

Attorney's Signature: 

Date: December 6, 2002

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