

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF INDIANA
INDIANAPOLIS DIVISION

ENTERED

SEP 30 2002

U.S. CLERK'S OFFICE
INDIANAPOLIS, INDIANA

JAMES A. KNAUER, as the Court
Appointed Receiver for HEARTLAND
FINANCIAL SERVICES, INC., and
JMS INVESTMENT GROUP, LLC,

Plaintiff,

vs.

JONATHON ROBERTS FINANCIAL
GROUP, INC., ALLIANCE CAPITAL
MANAGEMENT CORP., ANDOVER
SECURITIES INC., FSC
SECURITIES CORPORATION, and
FFP SECURITIES, INC.,

Defendants.

IP 01-1168-C-K/T

JUDGMENT OF DISMISSAL

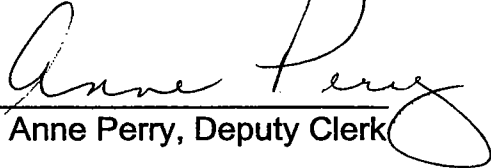
In accordance with this court's Entry On Motions To Dismiss, Defendant Andover Securities Inc. is DISMISSED WITHOUT PREJUDICE pursuant to Rule 4(m) for lack of service; the federal and state securities claims against all other Defendants are DISMISSED WITHOUT PREJUDICE pursuant to Rule 12(b)(1) for lack of standing; and the claims for fraud, breach of fiduciary duty, civil action by a crime victim and negligent

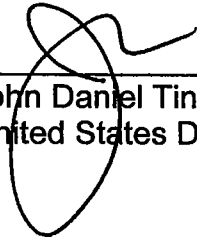
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supervision are DISMISSED WITH PREJUDICE pursuant to Rule 12(b)(6) as barred by the doctrine of *in pari delicto*.

ALL OF WHICH IS ENTERED this 30th day of September 2002.

Laura Briggs, Clerk
United States District Court


By Anne Perry, Deputy Clerk


John Daniel Tinder, Judge
United States District Court

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