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U.S. DISTRICT COURT
INDIANAPOLIS DIVISION

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SOUTHERN DISTRICT
OF INDIANA
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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF INDIANA
INDIANAPOLIS DIVISION

JAMES A. KNAUER as the Court Appointed)
Receiver for HEARTLAND)
FINANCIAL SERVICES, INC., and JMS)
INVESTMENT GROUP, LLC,)

Plaintiff,)

v.)

JONATHON ROBERTS FINANCIAL)
GROUP, INC.,)
ALLIANCE CAPITAL MANAGEMENT)
CORP.,)
ANDOVER SECURITIES INC.,)
FSC SECURITIES, CORPORATION, and)
FFP SECURITIES, INC.,)

Defendants.)

CAUSE NO. IP01-1168-C-T/K

**NOTICE OF INITIAL DISCLOSURES BY
PLAINTIFF TO DEFENDANTS**

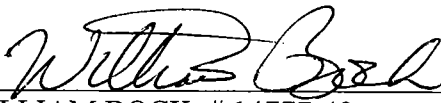
Plaintiff, James A. Knauer as the Court Appointed Receiver for Heartland Financial Services, Inc. et al, by counsel, hereby notifies the Court that pursuant to Fed. R. Civ. P. 26 and pursuant to the Case Management Plan, Plaintiff sent his Initial Disclosures to the Defendants on March 11, 2002.

*cc: WJL
BEB
KW*

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Respectfully submitted,

KROGER, GARDIS & REGAS, LLP

By: 
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CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 11th day of March, 2002, a true and accurate copy of the above and foregoing pleading was served by depositing the same in the United States mail, first class, postage prepaid to:

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